

TRANSMITTAL LETTER TO THE UNITED

STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

APPLICATION NO. (If known, see 37 C.F.R. 1.5)

EXPRESS MAIL NO.
EL440268785US

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US98/08015

21 April 1998

23 April 1997

TITLE OF INVENTION: BRADYKININ ANALOGS AS SELECTIVE INHIBITORS OF CELL ACTIVATION

APPLICANT(S) FOR DO/EO/US: SCHMAIER, Alvin H. and HASAN, Ahmed, A.K.

520 Rec'd PCT/PTO 31 DEC 1999

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ Amendments to the claims of the International Application under PCT Article 34.
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ A signed oath or declaration of the inventors. (35 U.S.C. 371 (c)(4)).
11. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 12. to 16. below concern other document(s) or information included:

12. ☒ An information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information:
Certificate of Express Mailing Under 37 CFR 1.10
Response to Missing Requirements
Copy of first submission to DO/EO/US

18. ☒ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

Basic National Fee (27 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO \$840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$.00

Claims	Number Filed	Number Extra	Rate		
Total Claims	- 20 =		x \$18.00	\$.00	
Independent Claims	- 3 =		x \$78.00	\$.00	
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$ 0.00	

TOTAL OF ABOVE CALCULATIONS =

\$00.00

Reduction by 1/2 for filing by small entity, applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$0.00

SUBTOTAL =

\$.00

Processing fee of **\$130.00** for furnishing the English translation later than 20 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

+ \$ 0

TOTAL NATIONAL FEE =

\$.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate
cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property

\$40.00

TOTAL FEES ENCLOSED =

\$ 40.00

Amount to be
refunded

\$

charged

\$ 40.00

a. A check in the amount of \$ to cover the above fees is enclosed.b. ☒ Please charge my Deposit Account No. 19-1135 in the amount of **\$40.00** to cover the above fee. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1135. A duplicate of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 367 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

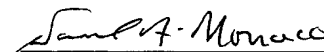
SEIDEL, GONDA, LAVORGNA & MONACO, P.C.

Two Penn Center Plaza

Suite 1800

Philadelphia, Pennsylvania 19102

United States of America



SIGNATURE

DANIEL A. MONACO

NAME

30,480

REGISTRATION NUMBER

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
ACTING AS THE DO/EO/US**

In re:	Patent application of Alvin H. Schmaier, et al.	:	Attorney Docket No.: 8820-3
Serial No.:	09/402,732	:	Group Art Unit: Not yet assigned
I.A. Filing Date:	April 21, 1998	:	Examiner: Not Yet Assigned
For:	Bradykinin Analogs As Selective Inhibitors of Cell Activation	:	International Application No.: PCT/US98/08015

**RESPONSE TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 U.S.C. 371 IN THE
UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Assistant Commissioner of Patents
BOX PCT
Washington, D.C. 20231

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office which was mailed on November 23, 1999, the response to which is due on or before January 23, 2000, the following papers are submitted herewith:

* * * * *

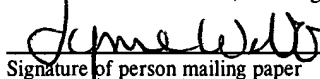
CERTIFICATE OF MAILING

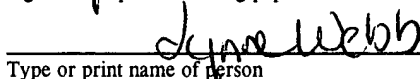
UNDER 37 C.F.R. 1.10

EXPRESS MAIL Mailing Label Number: EL440268785US

Date of Deposit: 1 December 1999

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, and/or fee is being deposited with the United States Postal Service, "EXPRESS MAIL - POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and is addressed to: Assistant Com. for Patents, Washington, D.C. 20231.


Signature of person mailing paper


Type or print name of person

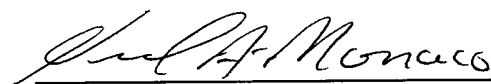
1. Declaration and Power of Attorney; and
2. A copy of the Form PTO-1533.

Authorization to charge the \$65.00 surcharge for the late filing of the Declaration and Power of Attorney was previously provided in the Transmittal Letter to the DO/EO/US (copy enclosed). Please charge any fee deficiency required by this paper or credit any amount paid in excess to Account No. 19-1135.

This paper is submitted in duplicate.

Respectfully submitted,

ALVIN H. SCHMAIER, ET AL.

By: 

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Attorney for Applicants

09/402732

09/402,732

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

8820-3

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

5611

SEIDEL GONDA LAVORGNA & MONACO
TWO PENN CENTER PLAZA
SUITE 1800
PHILADELPHIA PA 19102

PCT/US98/08015

INTERNATIONAL APPLICATION NO.

04/21/98
P.A. FILING DATE04/23/97
PRIORITY DATE

11/23/99

DATE MAILED:

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☐ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.☒ Copy of the international application in:

- ☐ a non-English language.
☒ English.

☒ Translation of the international application into English.☒ Oath or Declaration of inventors(s) for DO/EO/US.☐ Copy of Article 19 amendments.☐ Translation of Article 19 amendments into English.☒ The International Preliminary Examination Report in English and its Annexes, if any.☒ Translation of Annexes to the International Preliminary Examination Report into English.☐ Preliminary amendment(s) filed _____ and _____.☐ Information Disclosure Statement(s) filed _____ and _____.☐ Assignment document.☐ Power of Attorney and/or Change of Address.☐ Substitute specification filed _____.☒ Statement Claiming Small Entity Status.☐ Priority Document.☒ Copy of the International Search Report ☐ and copies of the references cited therein.☐ Other:2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

- ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917 *unsigned*

- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate ☐ 30 (37 CFR 1.495(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice **MUST** be returned with this response.Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Telephone: (703) 305-3661

